

**NORTH COUNTY
TRANSIT DISTRICT**



Federal Transit Administration
(FTA) Overall DBE
Goal-Setting Methodology

for
FFY 2017 - 2019
Goal Period

Submitted in fulfillment of:

Title 49 Code of Federal Regulations Part 26

This analysis is prepared exclusively for NCTD; it is non-transferable and is not to be duplicated.

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I. INTRODUCTION

The North County Transit District (NCTD) herein sets forth its Overall Disadvantaged Business Enterprise (DBE) Goal and corresponding federally prescribed goal-setting methodology for the three-year Federal Fiscal Year (FFY) goal period of 2017-2019 (October 1, 2016 through September 30, 2019), pursuant to Title 49 Code of Federal Regulations (CFR) Part 26 “Participation by Disadvantaged Business Enterprises in U.S. Department of Transportation Programs.” The purpose of the DBE goal-setting process is to level the playing field so that DBEs can compete fairly for Department of Transportation-assisted contracts, however, the program must be narrowly tailored in accordance with applicable law.

II. BACKGROUND

NCTD is a recipient of U.S. Department of Transportation (USDOT), Federal Transit Administration (FTA), funding. As a condition of receiving this assistance, NCTD signed an assurance that it will comply with FTA’s DBE requirements. In accordance with Title 49 CFR Part 26 provisions: Participation by DBEs in USDOT Programs, NCTD is required to develop and submit a Triennial Overall DBE Goal for its FTA-assisted projects.

NCTD herein presents its Overall DBE Goal Methodology for FFY 2017-19.

III. FTA-ASSISTED CONTRACTING PROGRAM FOR FFY 2017-2019

Table 1 represents NCTD’s FTA-assisted contracting program, which consists of projects considered in preparing this goal methodology. These projects, including Construction, Professional Services and Durable Goods contracting opportunities, are anticipated to be awarded during the triennial period:

Table 1

Project Name	Estimated \$ FTA Share
Solar Panel/Inverter Maintenance	\$55,651
Solar Panel Recommissioning	\$129,811
Rail Facilities Maintenance	\$5,414,697
Electrical	\$898,778
Lightpole Maintenance	\$314,572
Plumbing	\$898,778
HVAC-R	\$1,348,168
Landscape/Tree Trimming	\$224,695
Pest Control	\$89,878
Elevator Maintenance	\$314,572
Life/Safety Equipment	\$314,572
Parking Lot Sweeping	\$89,878

Project Name	Estimated \$ FTA Share
Cont Maint Bus Stops	\$435,996
Generator Rental	\$48,000
Materials & Supplies- Building Supplies	\$50,400
Aux. Parts & Supplies- Farebox	\$29,184
Ticket Vending Machines Maintenance	\$1,279,416
Ticket Vending Machines Aux Parts & Supplies	\$9,216
Ticket Vending Machines Parts Maintenance	\$192,000
Aux Parts & Supplies- Ties, Spikes, Rails	\$36,000
Construction: Trackstructure (Rail/Tie/Spike Replacement)	\$5,881,597
Construction: Drainage/culvert maintenance	\$2,940,799
Construction: Maintenance of Access Roads	\$980,266
Professional Services- 1/2 Arborist & 1/2 Erosion Control	\$32,104
COASTER: Vehicle Mechanical Maintenance	\$4,150,186
COASTER: Vehicle Parts	\$4,106,329
COASTER: Vehicle Janitorial/Cleaning	\$1,483,200
SPRINTER: Vehicle Mechanical Maintenance	\$2,706,083
SPRINTER: Vehicle Parts	\$3,075,529
SPRINTER: Vehicle Janitorial/Cleaning	\$311,760
LIFT Vehicle Mechanical Maintenance	\$1,038,769
LIFT Vehicle Parts	\$574,638
LIFT Facility Maintenance	\$508,334
LIFT Facility Supplies	\$88,406
FLEX Vehicle Maintenance	\$73,532
FLEX Vehicle Parts	\$40,677
FLEX Facility Maintenance	\$35,984
FLEX Facility Supplies	\$6,258
BREEZE Vehicle Mechanical Maintenance	\$7,460,241
BREEZE Vehicle Parts	\$4,126,942
BREEZE Facility Maintenance	\$3,650,756
BREEZE Facility Supplies	\$634,914
BREEZE - Engines and Transmission Rebuilds	\$640,000
Bus Wash - BREEZE East/West Division Facilities	\$400,000
Electrical Arc Flash Study	\$80,000
Fire Alarm Refurbish - COASTER Operations Facility	\$113,600
Fuel Crane Metering - COASTER Operations Facility	\$266,400
Information Technology Enhancements	\$400,000
JD Edwards Reimplementation & Upgrade	\$2,700,000
Parking Expansion - BREEZE East Division Facility	\$959,999

Project Name	Estimated \$ FTA Share
Service Vehicle Replacement Program	\$400,000
Support Equipment-Dump Truck	\$120,000
Support Equipment-High Rail Inspection Truck	\$80,000
Grade Crossing Replacement Program	\$800,000
Right-of-Way Drainage Improvement Program	\$40,000
Right-of-Way Fencing Program	\$120,000
Turnout Replacement Program	\$400,000
Washington Wye	\$960,000
COASTER - Complete Locomotive Overhaul/Tier Upgrade	\$8,413,594
COASTER - Traction Motor/Main Engine/Generator Rebuilds	\$843,815
Del Mar Bluffs Stabilization	\$800,000
	\$74,618,976

Table 2 provides a summary of the categories of work with estimated cost breakdown for each. Categories of work are groups utilizing comparable North American Industry Classification System (NAICS) codes for purposes of weighting the categories of work based on the engineer's estimates.

Table 2:

Category of Work/NAICS Description	NAICS Code	Estimated FTA \$ by NAICS	NAICS Weight
Commercial and Institutional Building Construction	236220	\$6,774,696	9.1%
Highway, Street, and Bridge Construction	237310	\$3,921,065	5.3%
Other Heavy and Civil Engineering Construction	237990	\$6,721,597	9.0%
Electrical Contractors and Other Wiring Installation Contractors	238210	\$1,398,813	1.9%
Plumbing, Heating, and Air-Conditioning Contractors	238220	\$2,246,946	3.0%
Other Building Equipment Contractors	238290	\$343,756	0.5%
All Other Specialty Trade Contractors	238990	\$120,000	0.2%
Other Concrete Product Manufacturing	327390	\$36,000	0.0%
Other Commercial and Service Industry Machinery Manufacturing	333318	\$1,480,632	2.0%
Totalizing Fluid Meter and Counting Device Manufacturing	334514	\$266,400	0.4%
Motor Vehicle Transmission and Power Train Parts Manufacturing	336350	\$640,000	0.9%
Automobile and Other Motor Vehicle Merchant Wholesalers	423110	\$600,000	0.8%
Motor Vehicle Supplies and New Parts Merchant Wholesalers	423120	\$4,742,258	6.4%
Medical, Dental, and Hospital Equipment and Supplies Merchant Wholesalers	423450	\$314,572	0.4%

Category of Work/NAICS Description	NAICS Code	Estimated FTA \$ by NAICS	NAICS Weight
Hardware Stores	444130	\$779,978	1.0%
Support Activities for Rail Transportation	488210	\$24,655,536	33.0%
Other Support Activities for Road Transportation	488490	\$435,996	0.6%
Other Commercial and Industrial Machinery and Equipment Rental and Leasing	532490	\$48,000	0.1%
Engineering Services	541330	\$800,000	1.1%
Computer Systems Design Services	541512	\$3,100,000	4.2%
Other Scientific and Technical Consulting Services	541690	\$80,000	0.1%
Facilities Support Services	561210	\$4,195,074	5.6%
Security Systems Services (except Locksmiths)	561621	\$113,600	0.2%
Exterminating and Pest Control Services	561710	\$89,878	0.1%
Janitorial Services	561720	\$1,794,960	2.4%
Landscaping Service	561730	\$256,799	0.3%
Other Services to Buildings and Dwellings	561790	\$89,878	0.1%
General Automotive Repair	811110	\$8,572,542	11.5%
TOTAL		\$74,618,976	100%

IV. GOAL METHODOLOGY

Step 1: Determination of a Base Figure (26.45)¹

To establish NCTD's Base Figure of the relative availability of DBEs to all comparable firms (DBE and Non-DBE) available to bid or propose on NCTD's FTA-assisted contracting opportunities projected to be solicited during the triennial goal period, NCTD followed the prescribed federal methodology to determine relative availability. This was accomplished by assessing the *California Unified Certification Program (CUCP) DBE Database of Certified Firms* and the *2014 U.S. Census Bureau County Business Patterns Database* within NCTD's market area (defined as Orange, Riverside and San Diego Counties) for each of the categories of work defined in Table 2.

NCTD's local market area consists of the geographic area where a substantial majority of contracting dollars are expended and/or where the substantial majority of contractor and subcontractor bids or quotes are located.

In accordance with the formula below, the Base Figure is derived by dividing the number of ready, willing and able DBE firms identified for each work category by the number of all firms identified for each corresponding work category (relative availability), weighting the relative availability for each work

¹ 26.45 represents Title 49 CFR Part 26 regulatory goal setting methodology reference.

category by the corresponding work category weight from Table 2 (weighted ratio), and adding the weighted ratio figures together.

$$\text{Base Figure} = \sum \frac{(\text{Number of Ready, Willing and Able DBEs})}{\text{Number of All Ready, Willing and Able Firms}} \times \text{weight} \times 100$$

⇒ For the numerator: CUCP DBE Database of Certified Firms

⇒ For the denominator: 2014 U.S. Census Bureau’s Business Patterns Database

A concerted effort was made to ensure that the scope of businesses included in the numerator was as close as possible to the scope included in the denominator.

The result of the Base Figure calculation is 4.3%, as shown in Table 3 as follows:

Table 3

Category of Work/NAICS Description	NAICS Code	Category Weight	DBEs	All Firms	Weighted Ratio
Commercial and Institutional Building Construction	236220	9.08%	59	1,152	0.50%
Highway, Street, and Bridge Construction	237310	5.25%	66	189	1.80%
Other Heavy and Civil Engineering Construction	237990	9.01%	13	86	1.40%
Electrical Contractors and Other Wiring Installation Contractors	238210	1.87%	38	1,946	0.00%
Plumbing, Heating, and Air-Conditioning Contractors	238220	3.01%	21	2,266	0.00%
Other Building Equipment Contractors	238290	0.46%	6	160	0.00%
All Other Specialty Trade Contractors	238990	0.16%	71	882	0.00%
Other Concrete Product Manufacturing	327390	0.05%	0	27	0.00%
Other Commercial and Service Industry Machinery Manufacturing	333318	1.98%	0	65	0.00%
Totalizing Fluid Meter and Counting Device Manufacturing	334514	0.36%	0	9	0.00%
Motor Vehicle Transmission and Power Train Parts Manufacturing	336350	0.86%	0	14	0.00%
Automobile and Other Motor Vehicle Merchant Wholesalers	423110	0.80%	1	212	0.00%
Motor Vehicle Supplies and New Parts Merchant Wholesalers	423120	6.36%	0	488	0.00%
Medical, Dental, and Hospital Equipment and Supplies Merchant Wholesalers	423450	0.42%	2	438	0.00%
Hardware Stores	444130	1.05%	0	210	0.00%
Support Activities for Rail Transportation	488210	33.04%	0	11	0.00%
Other Support Activities for Road Transportation	488490	0.58%	6	81	0.00%

Category of Work/NAICS Description	NAICS Code	Category Weight	DBEs	All Firms	Weighted Ratio
Other Commercial and Industrial Machinery and Equipment Rental and Leasing	532490	0.06%	2	261	0.00%
Engineering Services	541330	1.07%	118	2,402	0.10%
Computer Systems Design Services	541512	4.15%	59	1,717	0.10%
Other Scientific and Technical Consulting Services	541690	0.11%	74	1,802	0.00%
Facilities Support Services	561210	5.62%	10	137	0.40%
Security Systems Services (except Locksmiths)	561621	0.15%	2	201	0.00%
Exterminating and Pest Control Services	561710	0.12%	5	433	0.00%
Janitorial Services	561720	2.41%	24	1,288	0.00%
Landscaping Service	561730	0.34%	21	2,055	0.00%
Other Services to Buildings and Dwellings	561790	0.12%	7	458	0.00%
General Automotive Repair	811110	11.49%	2	1,991	0.00%
Base Figure (i.e., Sum of Weighted Ratios for all Work Categories)					4.3%

Step 2: Adjusting the Base Figure

Upon establishing the Base Figure, NCTD reviewed and assessed other known evidence potentially impacting the relative availability of DBEs within the market area, in accordance with prescribed narrow tailoring provisions set forth under 49 CFR Part 26.45: Step 2; DBE Goal Adjustment guidelines.

Evidence considered in making an adjustment to the Base Figure included Past DBE Goal Attainments and Other Evidence, as follows:

A. Past DBE Goal Attainments

As historical DBE participation attainments provide demonstrable evidence of DBE availability and capacity to perform, NCTD proceeded to calculate past DBE participation attainments for the three (3) federal fiscal years, for which DBE attainment data is available. The table below reflects the demonstrated capacity of DBEs (measured by actual historical DBE participation attainments) on FTA-assisted contracts awarded by NCTD within the last three (3) federal fiscal years.

Table 4

FEDERAL FISCAL YEAR (FFY)	FTA DBE GOAL ATTAINMENT %
2013/2014	3.1%
2014/2015	6.7%
2015/2016	4.5%
Median DBE Attainment Within the Last Three (3) Years	4.5%

The median DBE attainment for the past three years is higher than the Base Figure derived from Step 1; therefore, an adjustment to the Base Figure based on NCTD's past DBE goal attainments has been

made. The adjustment is calculated by averaging the Base Figure with the Median DBE Attainment, as shown below.

$$\text{Adjusted Base Figure} = \frac{\text{Base Figure (4.3\%)} + \text{Median DBE Attainment (4.5\%)}}{2}$$

The formula resulted in an Adjusted Base Figure of 4.4%.

B. NCTD Bidder's List

NCTD's Vendor/Consultant and Plan holder databases were assessed to analyze DBE bidders and NCTD's market area. NCTD's database information is consistent with recent disparity study availability and market area findings. NCTD captures bidder information through a web-based system that facilitates the analysis by contract type and corresponding NAICS codes. NCTD does not plan to make an adjustment to the Adjusted Base Figure based on the Bidders List data.

C. Disparity Study

In April 2013, NCTD commissioned BBC Research & Consulting to conduct a disparity study. This study looked at the procurement history of minority- and women-owned firms for a five-year period between January 1, 2008 and December 31, 2012. The primary objective of the study was to update the examination of any disparities between NCTD's utilization of Minority and Women Business Enterprises (MBE/WBE) on its transportation contracts, including those funded by the United States Federal Transit Administration (FTA) and the availability of those businesses to perform that work.

The utilization of MBE/WBEs was compared with the availability of MBE/WBEs for contracting work. As part of the disparity study, the utilization of MBE/WBEs on NCTD transportation prime contracts and subcontracts were compared with the percentage of contract dollars that MBE/WBEs might be expected to receive based on their availability for that work. The disparity analysis results indicate that all MBE/WBE groups exhibited substantial disparities either overall or on locally-funded contracts during the study period.

The results of the disparity study showed that NCTD could expect to receive 4.8% DBE participation FTA-funded contracts based on DBE availability. This is higher than the Adjusted Base Figure; therefore, an adjustment based on the results of NCTD's disparity study has been made. The adjustment is calculated by averaging the Adjusted Base Figure with the disparity study results, as shown below.

$$\text{Further Adjusted Base Figure} = \frac{\text{Adjusted Base Figure (4.4\%)} + \text{Disparity Study Results (4.8\%)}}{2}$$

The formula resulted in a Further Adjusted Base Figure of 4.6%.

D. Other Available Evidence

NCTD is not in possession of other information that would have an impact on the DBE goal assessment.

V. PROPOSED OVERALL DBE GOAL

NCTD is currently operating a strictly race-neutral DBE Program. As such, NCTD will meet the maximum feasible portion of its overall goal by using race-neutral means of facilitating DBE participation.

The Final Proposed Overall DBE Goal for FFY 2017-2019 for NCTD's FTA-assisted contracts is 4.6%. As a part of the prescribed goal-setting methodology, NCTD must project the percentage of its Proposed Overall DBE Goal that can be met utilizing race-neutral and race-conscious measures.

Race-Conscious & Race-Neutral Projection

NCTD intends to continue to use race-neutral methods to meet the overall DBE goal of 4.6% for FFY 2017-2019 in accordance with Title 49 CFR Part 26.51.

RACE-CONSCIOUS & RACE-NEUTRAL PROJECTIONS	
DBE Adjusted Base Figure	4.6%
Race-Conscious Component	0%
Race-Neutral Component	4.6%

In order to ensure that our DBE program will be narrowly tailored to overcome the effects of discrimination, if we use contract goals we will adjust the estimated breakout of race-neutral and race-conscious participation as needed to reflect actual DBE participation (see 26.51(f)) and we will track and report race-neutral and race conscious participation separately.

VI. RACE-NEUTRAL IMPLEMENTATION MEASURES

NCTD is currently implementing a number of race- and gender-neutral remedies to outreach and promote the participation of DBEs and small businesses in NCTD's FTA-assisted contracting program. NCTD plans to continue or implement the following race-neutral measures for FFY 2017-2019 and will continue to explore other options for consideration based on NCTD's success in meeting its overall DBE goals based on these efforts. NCTD will:

- Arrange solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitate DBE and other small business participation. NCTD will also hold conferences, which include a networking component to promote teaming opportunities between prospective prime contractors and the DBE and Small Business contracting community.
- Configure large contracts into smaller contracts when feasible, which would make contracts more accessible to small business, and would not impose significant additional cost, delay or risk to NCTD.
- Identify components of the work which represents subcontracting opportunities and identifying the availability of DBE subcontractors to participate in proportion to total available subcontractors. Contractors will be encouraged to consider subcontractors for components of the work for which there is a known supply of ready, willing, and able subcontractors, including DBE subcontractors, in preparing their bids.
- Provide technical assistance in orienting small businesses to public contracting procedures, use of the Internet, and facilitating introductions to NCTD and other U.S. DOT recipients' contracting activities. NCTD will also provide business development assistance and provide DBEs with information and assistance in preparing bids.
- Plan, participate in, and support training seminars that are beneficial to DBE's.
- Provide assistance in overcoming limitations such as inability to obtain bonding or financing (e.g., by such means as simplifying the bonding process, reducing bonding requirements, eliminating the impact of surety costs from bids, and providing services to help DBEs, and other small businesses, obtain bonding and financing). NCTD will refer the DBE and Small Business contracting community to the SBA Bonding Assistance Program.
- Solicit DBEs and other small businesses participation by carrying out information and communication programs on contracting procedures and specific contract opportunities (e.g., ensuring the inclusion of DBEs, and other small businesses, on recipient mailing lists of bidders; ensuring the dissemination to bidders on prime contracts of lists of potential subcontractors; provision of information in languages other than English, where appropriate).
- Actively promote the Small Business conferences, programs, and support services offered by other agencies that have established DBE and Small Business Programs as a supportive service to help develop and improve immediate and long-term business management, record keeping, and financial and accounting capability for DBEs and other small businesses.
- Advise its contracting community of the online directory of certified DBEs, found at the California Unified Certification Program website: www.CaliforniaUCP.com.
- Advise the contracting community of the available small businesses certified by the California Department of General Services (DGS) and found at the following url: www.bidsync.com/DPXBisCASB.

- Participate in outreach programs sponsored by the Contracting Opportunities Center of San Diego, and the North San Diego Small Business Development Center that will benefit the DBE program.

Fostering Small Business Participation²

NCTD has implemented several strategies to foster small business participation in its contracting process. These include the following:

- Configuring large contracts into smaller contracts when feasible, which would make contracts more accessible to small business, and would not impose significant additional cost, delay or risk to NCTD;
- Assisting in overcoming limitations in bonding and financing;
- Providing technical assistance in orienting small businesses to public contracting procedures, use of the Internet, and facilitating introductions to NCTD and other U.S. DOT recipients' contracting activities;
- Providing outreach and communications programs on contract procedures and contract opportunities to ensure the inclusion of small businesses;
- Providing business development assistance.
- Consider race neutral SB goals on DOT funded contracts;
- Consider contract set asides for SBs on DOT funded contracts

VII. PUBLIC PARTICIPATION AND FACILITATION

In accordance with Public Participation Regulatory Requirements of Title 49 CFR Part 26, minority, women, local business associations, and community organizations within the market area were consulted and provided an opportunity to review the triennial goal analysis and provide input.

The following thirteen (13) organizations were contacted to obtain comment on the Goal Methodology and NCTD maintained a NCTD Contact Log (Attachment 1):

- Asian Business Association of San Diego
- Small Business Development Center North San Diego
- San Diego and Imperial Regional SBDC Network (Lead Center)
- Black Contractors Association, Inc.
- Associated General Contractors of America San Diego Chapter, Inc.
- San Diego Contracting Opportunities Center (SDCOC)
- San Diego County Hispanic Chamber of Commerce
- San Diego Supplier Development Council (SDSDC)
- Association of General Contractors of San Diego (AGCSD)
- American Subcontractors Association of San Diego
- American Indian Chamber of Commerce of California

² See Title 49 CFR Part 26 Section 26.39 "Fostering Small Business Participation."

- Central San Diego Black Chamber of Commerce
- Mexican American Business & Professional Association

Of those contacted, SDSDC, SDCOC, and AGCSD responded. Comments provided during these contacts are identified in the NCTD Contact Log (Attachment 1) and summarized below:

- On July 15, 2016, the SDSDC was contacted to obtain comment. The SDSDC representative at first stated that the goal may not be high enough for the anticipated work. NCTD advised that the proposed goal is based on the anticipated work to be performed and availability of DBE's in the market. The representative provided additional comments pertaining to NCTD expanding and improving outreach. The comments did not prompt a change in NCTD's goal methodology.
- On July 18, 2016, the SDCOC was contacted to obtain comment. The ADCOC representative inquired if NCTD had experienced any "backlash" for not developing race-conscious goals, but did not object to the overall goal. NCTD advised that since it has met its past goals, there would be no need to implement race-conscious goals at this time; however, NCTD further advised that it completed a disparity study in 2014 and could explore implementing race-conscious goals, if necessary. The representative expressed concern from DBE's in general that the process for attaining DBE status from the State is too lengthy. The comments did not prompt a change in NCTD's goal methodology.
- On July 29, 2016, the AGCSD was contacted to obtain comment. The AGCSD representative stated that they typically see higher goals with other agencies, but felt that NCTD's goal was reasonable. NCTD advised that the proposed goal is based on the anticipated work to be performed and availability of DBE's in the market. The comments did not prompt a change in NCTD's goal methodology.

NCTD issued a Public Notice on June 2, 2016 (Attachment 2) on the NCTD website publishing the Draft Proposed FTA Overall DBE Goal-Setting Methodology for FFY 2017-FFY 2019. The notice informed the public that the proposed goal and rationale were available for inspection at NCTD's principal office during normal business hours for 30 days following the date of the Public Notice. The attached email chain from the NCTD's DBE Liaison Officer to NCTD's Web Developer demonstrates that the Draft Proposed FTA Overall DBE Goal-Setting Methodology for FFY 2017-FFY 2019 and accompanying Public Notice was posted on NCTD's DBE website on June 2, 2016 (Attachment 3).

NCTD Triennial DBE Goal Contact Log						
Prepared as part of the Consultative Process						
Organization	Organization Location	Organization Representative	Contact Info	Notes	Meeting Date, Time, Location	Meeting Comments
1 Asian Business Association (ABA)	San Diego	Earl Wong - Board Chair	Earl.Wong@spiritinvestmentadvisors.com info@abasd.org	Sent email to Earl on 7/11/16. Earl replied and we are in the process of scheduling a meeting for next Thursday or Friday @ 1:00. Haven't heard from Earl. Send follow up email on 7/19/16. Sent Goal Methodology document to Earl on 7/28/16.		Provided copy of Goal Setting Methodology document for review and comment.
2 Small Business Development Center North San Diego	Oceanside	Sudershan Shaunak, president	(760) 795-8740 sshaunak@miracosta.edu	Called organization on 7/11/16. Received email for current president. Sent Sudershan an email on 7/11/16. Waiting for a response.		Provided copy of Goal Setting Methodology document for review and comment.
3 San Diego and Imperial Regional SBDC Network (Lead Center)	National City			Organization's website is the same as #2 organization (Small Business Development Center)		See Item 2 above.
4 Black Contractors Association, Inc. (BCA)	San Diego	Abdul-Rahim Hameed	nationalbca@aol.com	Spoke with Hameed on the phone on Friday, July 8th. Sent him an email to discuss setting up a meeting time. Waiting for reply. Followed up with an email on 7/13/16. Hameed responded to email with a time for a meeting. I replied on 7/13/16. Followed up after no reply on 7/27/16. Called BCA and spoke to receptionist. Hameed was in a meeting and will call back. Sent Goal Methodology document to Hameed on 7/28/16. No reply		Provided copy of Goal Setting Methodology document for review and comment.
5 Associated General Contractors of America San Diego Chapter, Inc.	San Diego	Jon Cloud	jon@jcloudinc.com	Sent an email to Jon on 7/11/16. Waiting for a reply. 7/19/16 Jon is not interested.		Not interested.
6 San Diego Contracting Opportunities Center (SDCOC)	San Diego	Rachel Fischer, Director	rfischer@ptac-sandiego.org	Sent an email to Rachel (7/11/16). She replied and we are in the process of scheduling a meeting for next Monday @11:00	Scheduled for July 18th @ 11:00	Discussed overall goal process with Rachel and provided brief summary. She was aware of the process and understood that no contract goals will be set for NCTD projects. She asked if NCTD had received any backlash by not developing a RC program. She also stated that some people may not understand that RN program don't include goals on projects. She brought up one issue that came up in a recent Caltrans-SBC meeting. She said that DBEs have complained about it taking too long to get new Work Codes. She also thought the DBE application is too long and some of her members have complained about this. She also said that NCTD's practice of having DBE roll calls at pre-proposal meetings helps make connections with primes.
7 San Diego County Hispanic Chamber of Commerce (SDCHCC)	San Diego		info.sdchcc@gmail.com 858-268-0790 (858) 268-0790	Called and left a VM for Abby 7.15.2016 Called and left a VM. Need to call organization for current president		Unable to contact representative.
8 San Diego Supplier Development Council (SDSDC)	San Diego	Mikal Aziz, President	mikal.aziz@ngc.com	Called and emailed Ron, Would like to participate. 858-537-7444 rgarnett@supplierdiversitysd.org	Scheduled for July 15 @ 11AM	Spoke with Ron Garnett from the SD Supplier Diversity Council. He mentioned that it was good to see that NCTD has met its DBE goals in the past. Ron stated that NCTD needs to do a better job in outreach and that the program seems perfunctory at times. He also stated that the DBE goal may not be high enough for the work planned. It was explained to him that the goal is based on work to be performed and the availability of DBEs in the market. He stated that his stakeholders have not done work with NCTD in the past and that many of them work in the commercial sector. Working with public agencies on federal funded projects requires a lot of effort with little results. He recommended that for NCTD to do business with SDSDC members that a more comprehensive approach should be taken on, and not speed networking. Instead, bring suppliers and buyers together that have the same needs and meet with them. Ron suggested several ways to improve member participation with NCTD: 1) Reduce challenges and process for certification, 2) leverage certifications (i.e, why? what's next?), 3) Expedite process - issues simplified P.O.s, 4) hold workshops to help members understand process. He also recommended that NCTD engage with SDSDC in the future to build relationship.
9 Association of General Contractors of San Diego	San Diego	Brad Barnum, VP of Government Relations	bbarnum@agcsd.org bbarnum@agcsd.org 858-558-7444	Called and emailed Brad. Brad stated that he will be available after July 25.	Scheduled for July 29, 2016 @ 2PM	Spoke with Brad Barnum about the NCTD overall goal and he stated that he typically sees higher goals with other agencies and that these goals are more reasonable. Brad said that the overall goal appears fair and asked why other agency goals were higher. He also said that he hasn't received any complaints from supplier and contractors about NCTD. Jarrett explained that NCTD has been more active in reaching out to DBE firms, including conducting DBE roll calls at pre-bid meetings and participating at more outreach events. Brad offered the use of his Plan room and stated that the AGC had made this available to the City of San Diego's Small Local Business Enterprise program. Brad stated that the RN DBE program appears to be working for NCTD.
10 American Subcontractors Association of San Diego	San Diego	Nancy Grimes, Executive Director	nancy@sandiegoaasa.org 619-825-9552	Called and left a VM, 7.15.2016 Left a VM		Unable to contact representative.
11 American Indian Chamber of Commerce of California	Rancho Santa Fe	Cheri Myron, Chapter Coordinator	clmyron@gmail.com 619-540-5398 tracy@approveb.com	Called and spoke to Cheri and was told that Tracy is interested in the interview. Sent an Email over to Cheri and Tracy to request for available times. Tracy is in LA area 7.15.2016 Called to follow up, was busy and will call back. 7.26.2016 Called and email to follow up with a meeting time. Has not responded.		Spoke with Tracy and she had to reschedule due to schedule conflict. Provided copy of Goal Setting Methodology document for review and comment.
12 Central San Diego Black Chamber of Commerce	San Diego	Darnisha Hunter, Marketing Director	mike.allen@cblackchamber.com 619-269-9400	Called and left a VM. 7.15.2016 Called and left a VM.		Unable to contact representative.
13 Mexican American Business & Professional Association	Bonita	Josie L. Calderon-Scott President	mabpa@jccs.sdcxmail.com	7.15.2016 Called and left a VM. Sent an email out.		Unable to contact representative.



PUBLIC NOTICE – June 2, 2016

NOTICE IS HEREBY GIVEN, that the North County Transit District (NCTD), has established a Proposed Overall Disadvantaged Business Enterprise (DBE) Goal of 4.6% (Goal), for Federal Fiscal Years 2017-19. The DBE Goal is applicable to U.S. Department of Transportation (DOT)/Federal Transit Administration (FTA) assisted contracting opportunities scheduled to be awarded during the period of October 1, 2016 through September 30, 2019. NCTD's Proposed Overall DBE Goal and its rationale (developed in response to U.S. DOT's Disadvantaged Business Enterprise Program Regulations published under Title 49 CFR Part 26) are available for inspection for thirty (30) days following the date of this Notice, from 8:30 a.m. to 4:00 p.m., Pacific Standard Time, Monday through Friday at NCTD located at:

North County Transit District
810 Mission Avenue
Oceanside, CA 92054
Attn: Jarrett Wade, Civil Rights Officer
Phone: (760) 966-6631
Email: jwade@nctd.org

Additionally, NCTD's Proposed Overall DBE Goal and its rationale are available for inspection on the NCTD DBE webpage: <http://www.gonctd.com/dbe-program>. Comments will be accepted on the Goal for thirty (30) days from the date of this Notice. Comments can be forwarded to Mr. Jarrett Wade at NCTD, at the above stated address or by email, or to Lynette Little, Regional Civil Rights Officer, U.S. Department of Transportation, Federal Transit Administration, 201 Mission Street, Suite 2210, San Francisco, CA, 94105.

Jarrett Wade

From: Jennifer Duncan
Sent: Thursday, June 02, 2016 2:38 PM
To: Jarrett Wade; Eric Sawyer
Cc: Kimy Wall; Karen Tucholski
Subject: Re: Webpage Update - DBE Goal Methodology

This has been added to both pages. Please review and let me know if there are any changes.

Jenn

From: Jarrett Wade
Sent: Thursday, June 2, 2016 2:35 PM
To: Jennifer Duncan; Eric Sawyer
Cc: Kimy Wall; Karen Tucholski
Subject: RE: Webpage Update - DBE Goal Methodology

Hello Jen,

Let's move the Board Policy to the first position.

I just spoke with Mary in Finance. They too want the DBE Public Notice document posted on their webpage. With that in mind, we should name that link:
"Public Notice – Proposed DBE Goal Methodology FY 2017-19"

Also, let's slightly modify the Goal document is changed to the following: "NCTD Proposed Triennial DBE Goal Methodology FFY 2017-19"

Please make sure this is for both the DBE webpage and the Finance webpage.

Thanks,

Jarrett Wade
Civil Rights Officer
810 Mission Avenue | Oceanside, CA 92054 | P: 760-966-6631



From: Jennifer Duncan
Sent: Thursday, June 02, 2016 2:31 PM
To: Jarrett Wade <jwade@nctd.org>; Eric Sawyer <esawyer@nctd.org>
Cc: Kimy Wall <kwall@nctd.org>; Karen Tucholski <ktucholski@nctd.org>; Victoria Giebel <VGiebel@nctd.org>
Subject: Re: Webpage Update - DBE Goal Methodology

To clarify, the notice is going first, the board policy is second and the new goal methodology is third?

I know you are also following up with updated link language that I can add to the Financial page.

From: Jarrett Wade
Sent: Thursday, June 2, 2016 2:20 PM
To: Eric Sawyer; Jennifer Duncan
Cc: Kimy Wall; Karen Tucholski; Victoria Giebel
Subject: RE: Webpage Update - DBE Goal Methodology

Hello All,

I just realized the DBE Public Notice document I had previously attached still had the watermark. Please use the one attached.

Thanks,

Jarrett Wade
Civil Rights Officer
810 Mission Avenue | Oceanside, CA 92054 | P: 760-966-6631



From: Jarrett Wade
Sent: Thursday, June 02, 2016 12:48 PM
To: Eric Sawyer <esawyer@nctd.org>; Jennifer Duncan <jduncan@nctd.org>
Cc: Kimy Wall <kwall@nctd.org>; Karen Tucholski <ktucholski@nctd.org>; Victoria Giebel <VGiebel@nctd.org>
Subject: Webpage Update - DBE Goal Methodology

Hello All,

The Webpage Update is not working for me for some reason, so Karen requested that I email you the documents.

Please post these on the DBE Webpage under the heading "DBE PROGRAM".

- Post the DBE Public Notice first
- Replace the FFY 14 – 16 Goal document with the attached 2017-19 Goal document. Please title it: "NCTD Proposed Triennial DBE Goal Methodology FFY 17-19"

Also, I want to include a banner on the NCTD home page linking to the DBE Public Notice document. Should I submit a graphics request for that? It doesn't need to be anything major.

Thanks,

Jarrett Wade
Civil Rights Officer
810 Mission Avenue | Oceanside, CA 92054 | P: 760-966-6631

